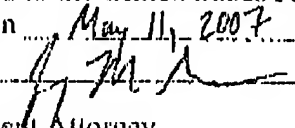


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Jay M. Sanders, Patent Attorney

INFORMATION DISCLOSURE
STATEMENT

Examining Group 1639
Patent Application
Docket No. UGR-100XD1
Serial No. 10/828,919
Confirmation No. 6165

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit : 1639
Applicants : Michael J. Adang, Laura M. Kasman
Serial No. : 10/828,919
Conf. No. : 6165
Filed : April 20, 2004
For : Phage Display of a Biologically Active *Bacillus thuringiensis* Toxin

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
(VIA Facsimile 571-273-8300)

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§1.97 AND 1.98

Sir:

In accordance with 37 C.F.R. §1.97 and §1.98, Applicants would like to bring to the attention of the Examiner the references listed on the attached Form PTO/SB/08B.

Pursuant to 37 C.F.R. §1.98(d), copies of the references cited on the attached Form PTO/SB/08B are not provided herewith because copies of these references were submitted to the Patent Office in prior U.S. Patent Application Serial No. 09/629,596 (filed July 31, 2000). The subject application (Serial No. 10/828,919) claims the benefit under 35 U.S.C. §120 of the filing date of the patent application 09/629,596. Applicants respectfully request that the copies of references supplied in the Information Disclosure Statement of the 09/629,596 application, as well as, any references cited during the prosecution thereof, be made of record in the 10/828,919 application.

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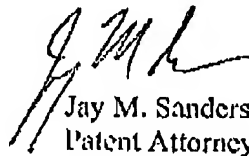
2

Docket No. UGR-100XD1
Serial No. 10/828,919

It is respectfully requested that the references cited on the attached Form PTO/SB/08 be considered in the examination of the subject application and that their consideration be made of record.

Applicants respectfully assert that the substantive provisions of 37 CFR §§1.97 and 1.98 are met by the foregoing statements. Though no fees are believed to be required for this paper, the Patent Office is hereby authorized to charge any fees required by this paper to Deposit Account No. 19-0065.

Respectfully submitted,

Jay M. Sanders
Patent Attorney

Registration No. 39,355

Phone No.: 352-375-8100

Fax No.: 352-372-5800

Address: P.O. Box 142950
Gainesville, FL 32614-2950May 11, 2007
Date/Signed & Faxed

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Attachment: Form PTO/SB/0813

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

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Sheet 1 of 5

Complete if Known

Application Number	10/828,919
Filing Date	April 4, 2004
First Named Inventor	Michael J. Adang
Group Art Unit	1639
Examiner Name	Sue Xu Liu
Attorney Docket Number	UGR-100XD1

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	R1	BARTÉL, D., and Szostak, J., "Isolation of new ribozymes from a large pool of random sequences," <i>Science</i> (1993) pp:1411-1418, Vol. 261	
	R2	BENJAMIN, Howard, Praecia Pharmaceuticals, Inc., Cambridge, MA; (unpublished)	
	R3	BOSCH, D., B. Schipper, H. Van Der Kleij, R.A. De Maagd, and W.J. Steikema, "Recombinant Bacillus thuringiensis crystal proteins with new properties Possibilities for resistance management," <i>Biotechnology</i> (1994) pp:915-918, Vol. 12	
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	R5	CADWELL, R., and Joyce, G.F., "Randomization of genes by PCR mutagenesis," <i>PCR Methods Appl.</i> (1992) pp:28-33, Vol. 2	
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	R7	CHEN, X.J., M.K. Lou, and D.H. Dean (1993) "Site-directed mutations in a highly conserved region of Bacillus thuringiensis δ -endotoxin affect inhibitions of short circuit current across Bombyx mori midguts," <i>Proc. Natl. Acad. Sci. USA</i> (1993) pp:9041-9045, Vol. 90	
	R8	CREA <i>et al.</i> , "Chemical synthesis of genes for human insulin," <i>Proc. Nat'l Acad. Sci.</i> (1978), pp 5765-5769, Vol. 75	
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	R12	ENGLISH, L. and Roady, T.L., "Delta endotoxin inhibits a phosphatase in midgut epithelial membranes of Heliothis virescens," <i>Insect Biochem.</i> (1989) pp:145-152, Vol. 19	

Examiner Signature	Date Considered
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*EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known

Application Number	10/828,919
Filing Date	April 4, 2004
First Named Inventor	Michael J. Adang
Group Art Unit	1639
Examiner Name	Sue Xu Liu
Attorney Docket Number	UGR-100XD1

Sheet 2 of 5

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. 1	Indicate name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city and/or country where published.	T ²
	R13	ESTRUCH J J, Carozzi N B, Desai N, Duck N B, Warren G W, Kozel M G., "Transgenic plants An emerging approach to pest control," Nature Biotechnology, (1997) pp.137-141, Vol. 15	
	R14	FENELSON, J.S., J. Payne, and L. Kim, "Bacillus thuringiensis insects and beyond," Biotechnology (1992) pp.271-276, Vol. 10	
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	R23	KEOHAVONG, P., and Tilly, W.G., "Fidelity of DNA polymerases in DNA amplification," Proc. Natl. Acad. Sci. U.S.A. (1989) pp.9253-9257, Vol. 86	
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Examiner Signature	Date Considered
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Sheet

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of

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Complete if Known

Application Number	10/828,919
Filing Date	April 4, 2004
First Named Inventor	Michael J. Adang
Group Art Unit	1639
Examiner Name	Sue Xu Liu
Attorney Docket Number	UGR-100XD1

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the journal (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city and/or country where published	7 ^a
	R25	KNOWLES, B.H. and J.A.T. Dow, "The crystal delta-endotoxins of <i>Bacillus thuringiensis</i> - models for their mechanism of action on the insect gut," <i>Bioassays</i> (1993) pp:469-476, Vol. 15	
	R26	LAMBERT, B. and Peterken, M., "Insecticidal promise of <i>Bacillus thuringiensis</i> ," <i>Bioscience</i> (1992) pp:112-122, Vol. 42	
	R27	LEE, M.K., F. Rajamohan, F. Gould, and D.H. Dean, "Resistance to <i>Bacillus thuringiensis</i> Cry1A d-endotoxins in a laboratory-selected <i>Heliothis virescens</i> strain is related to receptor alteration," <i>Appl. Environ. Microbiol.</i> (1995) pp:3836-3842, Vol. 61	
	R28	LEE, M.K., B.A. Young and D.H. Dean, "Domain III exchanges of <i>Bacillus thuringiensis</i> Cry1A toxins affect binding to different gypsy moth midgut receptors," <i>Biochem. Biophys. Res. Comm.</i> (1995) pp:306-312, Vol. 216	
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	R30	MASSONI, L., Y.-J. Lu, A. Mazza, R. Brosseau, and M.J. Adang, "The Cry1A(c) receptor purified from <i>Manduca sexta</i> displays multiple specificities," <i>J. Biol. Chem.</i> (1995) pp:20309-20315, Vol. 270	
	R31	MARZARI, R., P. Edoril, R.K. Bhatnagar, S. Ahmad, A. Selvapandiyan, and A. Bradbury, "Phage display of <i>Bacillus thuringiensis</i> Cry1A(a) toxin," <i>FEBS Letters</i> (1997) pp:27-31 Vol. 411	
	R32	MESSING <i>et al.</i> , "A system for shotgun DNA sequencing," <i>Nucleic Acids Res.</i> , (1981) pp:309-321, Vol. 9	
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	R35	SAIKI, R.K., Gelfand, D.H., Stoffel, S., Scharf, S.J., Higuchi, R., Horn, G.T., Mullis, K.B., and Erlich, H.A., "Primer-directed enzymatic amplification of DNA with a thermostable DNA polymerase," <i>Science</i> (1988) pp:487-491, Vol. 239	
	R36	SANFADALA, S., F.S. Walters, L. H. English, and M.J. Adang, "A mixture of <i>Manduca sexta</i> aminopeptidase and phosphatase enhances <i>Bacillus thuringiensis</i> insecticidal Cry1A(c) toxin binding and B6RbW ⁺ efflux in vitro," <i>J. Biol. Chem.</i> (1994) pp:10088-10092, Vol. 269, No. 13	

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet

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of

5

Complete if Known

Application Number	10/828,919
Filing Date	April 4, 2004
First Named Inventor	Michael J. Adang
Group Art Unit	1639
Examiner Name	Sue Xu Liu
Attorney Docket Number	UGR-100XD1

NON PATENT LITERATURE DOCUMENTS

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	R37	SCHWARTZ, J.-L., Y.J. Lu, P. Schaefer, R. Brousseau, L. Masson, R. Laprade, and M. J. Adang, "Ion channels formed in planar lipid bilayers by Bacillus thuringiensis toxins in the presence of Manduca sexta midgut receptors," FEBS Lett. (1997) pp:270-273, Vol. 412	
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	R41	STEMMER, W.P.C., "Rapid evolution of a protein in vitro by DNA shuffling," Nature (London) (1994a) pp:380-391, Vol. 370	
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	R48	WOLFFENBERGER, M.G., Luliy, P., Maurer, A., Parenti, P., Sacchi, V.F., Giordano, B., and Hanozel, G.M., "Preparation and partial characterization of amino acid transporting brush border membrane vesicles from the larval midgut of the cabbage butterfly (Pieris brassicae)," Comp. Biochem. Physiol. (1987) pp:301-308, Vol. 86A	

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Signature

Date

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	10/828,919
Filing Date	April 4, 2004
First Named Inventor	Michael J. Adang
Group Art Unit	1639
Examiner Name	Sue Xu Liu
Attorney Docket Number	UGR-100XD1

Sheet 5 of 5

NON PATENT LITERATURE DOCUMENTS

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	R37	ZOLLER et al., "Oligonucleotide-directed mutagenesis using M13-derived vectors: an efficient and general procedure for the production of point mutations in any fragment of DNA, Nucleic Acids Res., (1982) pp 6487-6504, Vol. 10	
	R38		
	R39		
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	R45		
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